

Appendix A – FAR Code List Translation

IRS Qualification Code

FAR Item Number: 5023

<i>Code</i>	<i>Description</i>	<i>Length</i>
1030	401k	4
1040	Solo 401k	9
1070	501c3	5
1075	501c9/HRA Plan	14
1080	457 Deferred Comp	17
1090	HR10/Keogh	10
1313	Stretch IRA	11
1314	Inherited IRA	13
2000	IRA	3
2005	IRA Rollover	12
2010	Non-Qualified	13
2040	Pension Plan	12
2050	SAR/SEP	7
2060	SEP-IRA	7
2070	IRA Spousal	10
2075	IRA Transfer	12
2090	Cash Bal-Def Contr	18
3000	Cash Bal-Def Benefit	20
3010	Solo Defined Benefit	20
3045	403b	4
3050	401g (formerly 403g)	20
3210	Simple IRA	10
3215	Roth IRA	8
3216	Roth 401K	9
3217	Roth 403b	9
3220	Educational IRA	15
3230	Profit Sharing Plan	19
3235	Money Purchase Plan	19
3300	Welfare Benefit(419)	20
3400	7702b/Long Term Care	20
4000	Offshore	8
56	4035 Transfers Only	19

Contract Status

FAR Item Number: 5026

<i>Code</i>	<i>Description</i>	<i>Length</i>
AA	Active Annuitized	17
AN	Annuitized	10
CC	Canceled	8
DA	Deferred Death	14
DE	Deceased/Death Claim	20
GS	Suspended	9
FL	Free Look	9
MA	Matured	7
NT	Not Taken	9
OD	One Deceased	12
PB	LOA Sent	8
PD	Pended	6
SU	Surrendered	11
VA	Active	6
LP	Lapsed	6
SC	Surrender/Converted	19
SI	Surrender/Individual (to client)	20
SE	Surrender/Exchange (to third party)	18

Contract Date Qualifier

FAR Item Number: 5028

<i>Code</i>	<i>Description</i>	<i>Length</i>
050	Application Received	20
092	Issue Effective	15
279	Surrender	9
442	Date of Death	13
443	Joint Death	11
603	Renewal	7
917	Maturity	8
946	Contract Mailing	16
962	Annuitized	10
963	Lapsed Date	11
964	Current Payout	14
965	First Payout	12

Contract Entity Role

FAR Item Number: 5030

<i>Code</i>	<i>Description</i>	<i>Length</i>
G2	Annuitant/Insured	17
G5	Cont Beneficiary	16
BE	Primary Beneficiary	19
HA	Owner	5
HC	Jt Annuitant/Insured	20
HD	Cont Annuitant/Insure	20
HE	Contingent Owner	16
JV	Joint Owner	11
OK	Owner/Annuity/Insured	20
PA	Power of Attorney	17
PE	Payee	5
CA	Collateral Assignee	19
CU	Custodian for UGMA	18
AA	Absolute Assignee	17
69	Trustee	7

Transaction Identifier

FAR Item Number: 5057

<i>Code</i>	<i>Description</i>	<i>Length</i>
15	Annual Fee	10
115	Annual Fee (R)	14
13	Adjustment-Units	16
113	Adjustment-Units (R)	20
28	Adjustment-Value	16
128	Adjustment-Value Rever	22
14	Admin Fee	18
114	Admin Fee (R)	13
17	Asset Allocation	16
117	Asset Allocation (R)	20
18	Asset Rebalancing	17
118	Asset Rebalancing (R)	21
400	Auto Loan Payment	17
401	Auto Loan Payment (R)	21
419	Bill PAC	8
19	Cancel (Reinstate)	18
119	Cancel (Reinstate) (R)	22
125	Cost of Ins	11
521	Cost of Ins-Rev	21
418	Death Ben Option Change	23

23	Death Claim	11
123	Death Claim (R)	15
22	Dollar Cost Ave	19
122	Dollar Cost Ave (R)	23

Code	Description	Length
209	Enhanced DB	11
309	Enhanced DB (R)	15
29	Exchange Credit	15
129	Exchange Credit (R)	19
95	Extra Credit	12
188	Extra Credit (R)	16
414	Face Decrease	13
413	Face Increase	13
94	Fee Liquidation	15
187	Fee Liquidation (R)	19
98	Fixed Bonus	11
191	Fixed Bonus (R)	15
30	Free Look Prem Cancel	21
152	Force-out Req by Tax Law	24
251	Force-out Req Tax Law (R)	25
153	Force-out to Repay Loan	23
351	Force-out Repay Loan (R)	24
182	Free Look (R)	13
32	Free Look Prem Cancel	21
130	Free Look Prem Cancel (R)	25
31	Free Look Val Cancel	20
131	Free Look Val Cancel (R)	24
65	Front End Load	14
165	Front End Load (R)	18
16	Full Annuitization	18
116	Full Annuitization (R)	22
33	Full Surrender	14
133	Full Surrender (R)	18
34	Fund Transfer	13
134	Fund Transfer (R)	17
35	Future Transfer	15
135	Future Transfer (R)	19
305	Group Rebalance (R)	19
X0	Group Rebalance	15
303	Group Transfer (R)	18
XG	Group Transfer	14
27	Interest Crediting	18
127	Interest Crediting Rever	24
90	Internal Premium	16
183	Internal Premium (R)	20
91	Internal Rollover	17
184	Internal Rollover (R)	21
92	Internal Surrender	18

195	Internal Surrender (R)	22
40	Jumbo Credit	12
140	Jumbo Credit (R)	16
410	Loan Default	12
411	Loan Default (R)	16

Code	Description	Length
42	Loan Payout	11
196	Loan Payout (R)	15
415	Loan Repayment	14
514	Loan Repayment(R)	17
199	Loan Repay Interest (R)	23
LRI	Loan Repay Interest	19
66	Market Value Adjust	19
166	Market Value Adjust (R)	23
44	Min Req Dist Payout	19
145	Min Req Dist Payout (R)	23
26	Mortality & Exp Charge	22
126	Mortality & Exp Chrg-Rev1	25
198	NIGO-carrier pursuing (R)	25
301	NIGO-carrier pursuing	21
93	Other Contribution	18
186	Other Contribution (R)	22
206	Over Contrib Withdrwl	21
306	Over Contrib Withdrwl (R)	25
47	Partial Annuitization	21
147	Partial Annuitization (R)	25
48	Partial Surrender	17
148	Partial Surrender (R)	21
420	Payment Correction	18
24	Payment Correct (R)	19
432	Per Ann Payment	15
234	Per Ann Pymt-Rev	30
412	Policy Withdrawal	17
214	Policy Withdrawa (R)	20
75	Premium-New (Orig)	18
76	Premium-New (Orig) (R)	22
79	Premium-Subsequent (R)	22
78	Premium-Subsequent (Orig)	25
416	Premium Band	12
417	Rate Change	11
421	Reissue	7
197	Reject by Carrier (R)	21
300	Reject by Carrier	17
173	Renewal	7
174	Renewal (R)	11
250	Rtrn of Excess Contr	20
307	Rtrn of Excess Contr (R)	24
99	Substantial Equal Pymt	22
193	Substantial Equal Pymt	22

50	Systematic Withdrwl	19
192	Systematic Withdrwl (R)	23
80	Transfer	8
81	Transfer (R)	12
82	Transfer Fee	12
194	Transfer Fee (R)	16
83	Withdrawal Fee	14
160	Withdrawal Fee (R)	18

Transaction Identifier

FAR Item Number: 5059

<i>Code</i>	<i>Description</i>	<i>Length</i>
13	Adjustment	10
14	Administrative Fee	18
15	Annual Fee	10
16	Full Annuitization	18
17	Asset Allocation Transfer	25
18	Asset Rebalancing Transfer	26
61	Back-End Load	13
19	Cancellation	12
62	Contingent Deferred Sales Charge	32
22	DCA Transfer	12
23	Death Claim	11
63	Deferred Sales Charge	21
30	Free Look Premium Cancellation	30
31	Free Look Value Cancellation	28
65	Front End Load	14
33	Full Surrender	14
42	Loan Initiation	15
43	Loan Remittance	15
34	Transfer Values (Funds)	23
66	Market Value Adjustment	23
45	Minimum Required Distribution Payout	36
47	Partial Annuitization	21
48	Partial Surrender	17
50	Systematic Withdrawal	21
75	Premium - New	13
78	Premium - Sub	13
67	Premium Tax Collected On Surrender	34
68	Premium Tax Paid Upfront	24
173	Renewal	7
70	Transaction Fee	15
80	Transfer	8
EM	Employee Amount	15

CA	Employer Amount	15
CM	Employer Match	14
99	Substantial Equal Payment	25
SR	Surrender	9
HL	Federal Tax	11
ST	State Tax	9
37	Interest Charge	15